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PATENT  
ATTORNEY DOCKET NO.: SHIM1100

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Asakawa and Hasegawa Art Unit: Unassigned  
Application No.: 09/762,641 Examiner: Unassigned  
IA Filing Date: August 10, 1999  
Title: RNA VIRUS VECTOR WITH CONTACT INFILTRATION CAPABILITY

Commissioner for Patents  
Washington, D.C. 20231

TRANSMITTAL SHEET

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Transmitted herewith for the above-identified application please find:

1. Information Disclosure Statement (2 pages);
2. Form PTO-1449 (1 page);
3. Four (4) References;
4. European Search Report (4 pages);
5. Return postcard.

CERTIFICATION UNDER 37 CFR §1.8

I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on January 29, 2002, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231

Jason Berry

In re Application of:  
Asakawa and Hasegawa  
Application No.: 09/762,641  
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Page 2



Attorney Docket No.  
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No fee is deemed necessary in connection with the filing of this Information Disclosure Statement as the items of information contained in this statement were cited in a communication from a foreign patent office in a counterpart foreign application, the communication being dated November 5, 2001, which is not more than three months prior to the filing of this statement. However, if any fee is required, authorization is hereby given to charge the amount of any such fee, or credit any overpayment, to Deposit Account No. 50-1355.

Respectfully submitted,

Date: 1/29/02

Lisa A. Haile

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**USPTO CUSTOMER NUMBER 28213**

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INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. 1.97, enclosed are references relating to the above-identified application. Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement. For the convenience of the Examiner, these references are listed on the attached Form PT)-1449 and copies are enclosed herewith. Reference AA is in Japanese, however an English Abstract is provided for the convenience of the Examiner.

It is respectfully requested that these references be made of written record in this application.

CERTIFICATION UNDER 37 CFR §1.8

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*Jason Berry*

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